

## An ISEE/Whistler Model of Equatorial Electron Density in the Magnetosphere

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An empirical model of equatorial electron density in the magnetosphere has been developed, covering the range  $2.25 < L < 8$ . Although the model is primarily intended for application to the local time interval  $\sim 00$ -15 MLT and to situations in which global magnetic conditions have been slowly varying or relatively steady in the preceding  $\sim 20$  hours, a way to extend the model to the 15-24 MLT period is also described. The principal data sources for the model were (1) electron density profiles deduced from sweep frequency receiver (SFR) radio measurements made along near-equatorial ISEE 1 satellite orbits and (2) previously published results from whistlers. The model describes, in piecewise fashion, the "saturated" plasmasphere, the region of steep plasmopause gradients, and the plasma trough. Within the plasmasphere the model profile can be expressed as  $\log n_e = \Sigma x_i$ , where  $x_1 = -0.3145L + 3.9043$  is the principal or "reference" term, and additional terms account for (1) a solar cycle variation with a peak at solar maximum, (2) an annual variation with a December maximum, and (3) a semiannual variation with equinoctial maxima. The location of the inner edge of the plasmopause (outer limit of the plasmasphere)  $L_{ppi}$  is specified, with some qualifications, as  $L_{ppi} = 5.6 - 0.46Kp_{max}$ , where  $Kp_{max}$  is the maximum Kp value in the preceding 24 hours. The plasmopause density profile is described as  $\log n_e = \log n_e(L_{ppi}) - (L - L_{ppi})/\Delta pp$ , where  $\Delta pp$  is the scale width of the plasmopause, or distance in  $L$  value over which the density drops by an order of magnitude. For modeling purposes,  $\Delta pp$  is suggested to be  $\sim 0.1$  ( $\sim 600$  km) at night and to increase across the dayside, but values no greater than  $\sim \Delta pp = 0.025$  ( $\sim 150$  km), the limiting spatial resolution of the ISEE SFR, have been observed. The inner part of the plasma trough, prior to significant refilling, is described as  $n_e = n_e(L_{ppo}) \times (L/L_{ppo})^{-4.5}$ , where  $L_{ppo}$  is the outer limit of the plasmopause segment. The model includes the effects of a factor-of-order  $\sim 5$  diurnal variation in electron density in the plasma trough region, as well as a relatively abrupt transition near dusk from day to night trough levels. It also includes an approach at large  $L$  values to a limiting low density of  $\sim 1$  el  $\text{cm}^{-3}$ . (It is possible that the trough levels in the model are a factor of 5-10 higher than trough levels in some nightside regions during the early phases of substorms.) ISEE data indicate that for those profiles on which one or more plasmopause decreases can be identified, the mean radius of the innermost plasmopause varies only slightly with magnetic local time, exhibiting a slight bulge near 18 MLT (dusk/dawn difference  $\Delta L_{ppi}$  of order 0.5). This is apparently due to the strong influence of the nightside plasmopause formation process, the effects of which are felt over much of the dayside following delays associated with the Earth's rotation. Structured regions of dense plasma of plasmaspheric origin are known to appear in the afternoon-evening sector at radii larger than those of the "main" plasmasphere. These are believed to be the more extended and/or outlying features of the plasmasphere bulge that have previously been reported; they are not represented by the present model.

### 1. INTRODUCTION

This is a progress report on the development of an empirical model of equatorial electron density in the magnetosphere. Such a model is needed in studies of propagation and wave-particle interaction phenomena and of the physics of the coupling between the ionosphere and overlying regions. Useful theoretical and empirical models of total plasmasphere density have been developed in recent years [e.g., Chiu *et al.*, 1979; Park *et al.*, 1978; Gallagher and Craven, 1988], but only limited attempts have been made to model the plasmopause and plasma trough regions [e.g., Moore *et al.*, 1987]. In the present work we consider the  $L$  range  $2 < L < 8$ , thus covering the plasmasphere at  $L > \sim 2$  (typical plasmopause locations) and major portions of the region beyond.

The work draws upon two large, complementary data sets: the ISEE 1 electron density data acquired over a multiyear period along orbits near the magnetic equator, and whistler data acquired during various intervals since 1957. Comparisons between ISEE 1 in situ electron density measurements and the whistler probing

technique have shown good agreement in case studies involving rendezvous situations [Carpenter *et al.*, 1981].

In the case of ISEE 1, the data consist of values of electron density scaled at  $\sim 32$ -s intervals from information on either the local upper hybrid resonance (UHR) or the local plasma frequency, in the manner described by Mosier *et al.* [1973] and Gurnett *et al.* [1979].

Figure 1 shows examples of ISEE 1 profiles acquired on four outbound dayside passes during 1983. The successive profiles for days 215, 217, and 219 (orbital period  $\sim 57$  hours) illustrate a transition from a profile containing a well-defined plasmopause at  $L \sim 3$  (day 215) to an extended and apparently high-density plasmasphere on day 219. Five days later, on day 224, following renewed disturbance activity, a well-defined plasmopause was again indicated.

In the case of whistlers, most of the data sources are published reports based upon the application of standard analysis methods [e.g., Park, 1972] to cases in which the time of the whistler-causing radio atmospheric could be directly identified on the records. Figure 2 shows an example of whistler data recorded over a 7.5-year period from 1957 through 1964 [Park *et al.*, 1978]. The quantity illustrated is proportional to whistler travel time at 5 kHz on paths near  $L=2.5$ . As such it provides a measure of tem-

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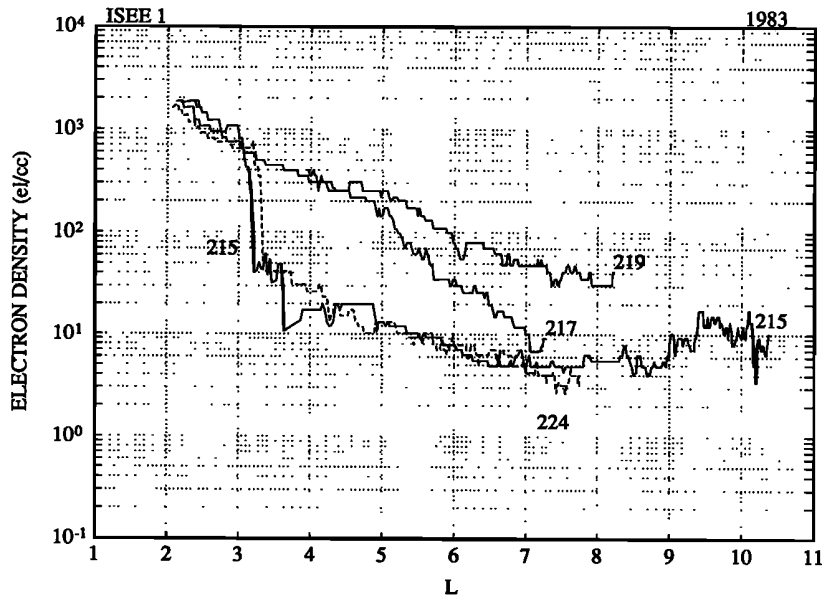


Fig. 1. Four ISEE electron density profiles illustrating a recovery sequence beginning with a well-defined plasmopause near  $L=3$  and ending with an extended, dense plasmasphere (days 215, 217, 219). Following renewed disturbance, a well-defined plasmopause was again established near  $L=3$  (day 224). These were outbound passes in the period August 3-12, 1983, in a typical case spanning several hours from postdawn to postnoon.

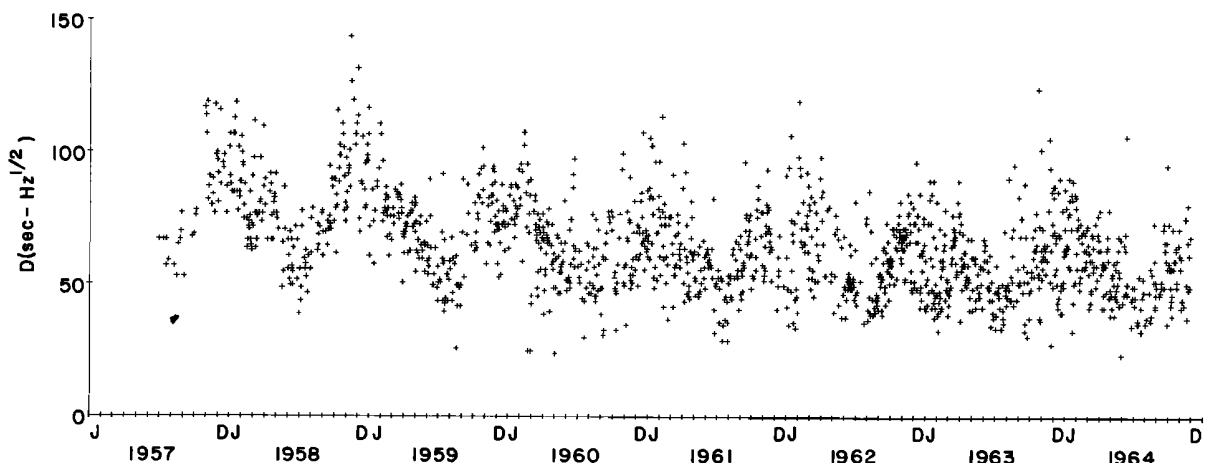


Fig. 2. Whistler data illustrating secular changes in magnetospheric electron density near  $L=2.5$ . Annual and solar cycle variations are particularly clear. The quantity plotted,  $D_5=70.7t_5$ , is a measure of whistler travel time at 5 kHz. As such it is approximately proportional to the equatorial plasma frequency at  $L=2.5$  and hence to  $n_e^{1/2}$ . The recordings were made at Stanford University ( $\sim 110^\circ W$ ). Adapted from Park *et al.* [1978].

poral variations in equatorial plasma frequency and hence  $n_e^{1/2}$ , where  $n_e$  is electron density. The data show both a year-to-year decrease in  $n_e$  as the yearly average sunspot number declined from 190 in 1958 to 10 in 1964, as well as a persistent annual variation with peak near December and minimum near June.

ISEE 1 sweep frequency receiver (SFR) data and whistler data complement one another in several important respects. ISEE sampled the density along its orbit every 32 s and hence was particularly useful for studying the shape of the density profile within the plasmasphere, plasmopause, and trough regions, as well as irregularities with scale size greater than the  $\sim 150$ -km spatial SFR sampling interval. On the other hand, whistlers and magnetospherically propagating VLF transmitter signals have been used to study particular magnetospheric regions for hours, days, or years at a time and hence are particularly valuable for investigation of temporal changes.

Because of temporal variations in electron concentration that occur in essentially all magnetospheric regions (for example, those

illustrated in Figure 1) it was decided to begin the modeling with estimates of three features, the "saturated," or quiet-time plasmasphere profile, the plasma trough profile, and the plasmopause. When described over a wide  $L$  range, the first two represent upper and lower bounds between which most observed profiles are expected to fall. The saturated plasmasphere, illustrated in Figure 1 by profile 219 and the portion of profile 217 at  $L < 5$ , is of interest because it represents either (1) a condition of 24-hour equilibrium with regard to ionosphere/magnetosphere interchange fluxes or (2) an advanced state of plasmasphere refilling by those fluxes.

Geomagnetic-storm-associated changes in the electron density profile of a saturated plasmasphere usually consist of (1) decreases by factors of up to 3 at locations within the outer plasmasphere [Park and Carpenter, 1970; Smith and Clilverd, 1991] and (2) decreases by factors of up to two orders of magnitude at locations beyond any newly formed plasmopause, such that the affected region becomes part of the plasma trough. Both types of density

decrease tend to occur beyond some  $L$  shell, the value of which depends upon the intensity of the disturbance activity. Thus in the outer plasmasphere, the saturated profile tends to lie above any empirical plasmasphere profile that is obtained from averaging data acquired under a wide range of magnetospheric conditions. In spite of this difference, the levels corresponding to the saturation condition are expected to be well defined in any large data set such as that from ISEE 1. At low  $L$  shells, a quasi-equilibrium with the ionosphere, and hence saturation, is achieved relatively quickly after a disturbance, while at higher  $L$ , saturation levels tend to be well defined in a data set that is extensive enough to cover the later stages of quiet periods. At such times the daily increments in flux tube electron content tend to be constant or declining [Park, 1974] and thus give rise to progressively smaller fractional changes in the total content.

The plasma trough as we define it represents the low-density outer region as it is first observed at various local times following disturbance, and hence prior to significant recovery. It therefore represents conditions near the beginning of a disturbed period, in contrast to the saturated plasmasphere, which (particularly at high  $L$  values) tends to represent conditions near the end. The trough is exemplified in Figure 1 by the portions of profiles 215 and 224 between  $L \sim 3.3$  and 7.5.

The density gradients at the plasmopause ( $d \log n_e / dL$  at the equator) were expected to be steepest and hence most readily identified in the hours following a sustained increase in disturbance activity. This expectation, while consistent with certain theoretical ideas about the plasmopause [e.g., Richmond, 1973; Lemaire, 1975, 1985], was primarily based upon experience with whistler data [Carpenter, 1966; Carpenter and Park, 1973] and with satellite records [e.g., Carpenter et al., 1968; Chappell et al., 1970a; Corcuff et al., 1972; Gringauz and Bezrukikh, 1976; Horwütz, 1983].

The plasmopause radius as a function of MLT was not expected to be easy to model. Early in a disturbed period, the instantaneous configuration of the plasmasphere should be difficult to predict, being heavily dependent upon the initial plasmasphere shape and upon the spatial and temporal distributions of convection activity. At later stages, predictions should be easier, to the extent that the plasmasphere configuration is predominantly an integral measure of the preceding convection activity and not the result of instabilities acting in concert with convection, as Lemaire [1975] has suggested. During such later periods the influence of the starting topology should become less important, and the effects of the spatial and temporal structure in the convection fields should be reduced due to integration along the trajectories of plasma elements.

An initial survey of individually plotted ISEE 1 profiles revealed much large-scale structure beyond the innermost identifiable plasmopause in the  $\sim 15$ -24 MLT sector. This was not surprising, in view of earlier work on the duskside bulge phenomenon [Carpenter, 1966, 1970; Higel and Wu, 1984] and on outlying dense plasma structures in the afternoon-evening sector [Chappell et al., 1971; Chappell, 1974; Taylor et al., 1970]. Thus it was decided to develop an initial model that would be primarily applicable to the period  $\sim 00$ -15 MLT and to discuss extension of the model to the 15-24 MLT range in a special section.

## 2. DEVELOPMENT OF AN EQUATORIAL ELECTRON DENSITY MODEL

A visual survey was made of plots of  $\log n_e$  versus  $L$  that represent several hundred inbound and outbound passes in the period from the ISEE 1 launch in 1977 through the end of 1983. These plots had earlier been prepared under the supervision of one of us

(R.A.) for purposes of documenting plasma distributions at magnetic latitudes less than  $\sim 45^\circ$ . For purposes of studying density levels, a subset of the profiles or profile segments was selected for which the magnetic latitudes were less than  $\sim 30^\circ$ . Most of these cases were from 1977, 1982, and 1983. For purposes of estimating plasmopause locations, all of the available profiles were used. As a practical matter, only those segments of a profile along which the number density dropped by a factor of 5 or more within  $\Delta L \leq 0.5$  were considered as candidates for identification as a plasmopause.

The ISEE electron density data were not adjusted downward to account for expected differences between actual off-equatorial observing positions and the equator. Within the plasmasphere, most such adjustments would be less than  $\sim 20\%$ , and in the plasma trough less than  $\sim 30\%$ . The estimated effect of full corrections on the plasmasphere portion of our model would be a downward revision of the profile by less than 10% without an appreciable change in the profile slope. In the trough region, any changes would be small compared to the variations from orbit to orbit and to the observed diurnal variation.

### The Saturated Plasmasphere: General Properties

Candidate profiles for the saturated plasmasphere were selected as those with data coverage at  $L \leq 3$  and with electron densities at  $L=3$  that were not more than a factor of  $\sim 1.5$  below  $1000 \text{ el cm}^{-3}$ , a level found from previous whistler research [e.g., Park et al., 1978] to characterize the relatively quiet plasmasphere. A portion of each profile was then accepted extending from the low- $L$  limit of the profile to the first point beyond which the profile became irregular or exhibited a steepened negative slope. Although variously subjective, these criteria served to eliminate from consideration many entire profiles and segments of profiles that represented intermediate states of recovery from initial plasma trough levels. Many other profile segments not selected represented the outer plasmasphere, either in a state of irregularity or in a condition of widespread storm time depletion by a factor of up to 2 or 3 from the saturation level.

A total of 25 profile segments were thus found for dayside hours  $\sim 09$ -15 MLT, 5 for nightside hours 00-05 MLT, and 10 for the dawn sector, 05-09 MLT. Of the 25 dayside profiles, 10 represented cases in which the plasmopause  $L$  value, denoted  $L_{ppi}$ , was less than 4; four represented the range  $4 < L_{ppi} < 5$ ; and 11 represented  $L_{ppi} > 5$  (if  $L_{ppi}$  was identifiable at all within the  $L$  range of the data).

Figure 3 shows a plot of the 11 ISEE 1 dayside profile segments which extended beyond  $L=5$ . These profiles tended to be representative of very quiet magnetic conditions and appeared to be well approximated by a linear relation between  $\log n_e$  and  $L$ . For purposes of obtaining a preliminary curve fit, values of all the 25 dayside profiles were recorded at intervals of 0.5 in  $L$ . Figure 4a shows a plot of the values, which were necessarily most numerous at lower  $L$  values because of the varying outer limits to which the profile segments extended. The best least squares linear fit to these data is indicated by a line. The expression for this line is

$$n_e = 10^{(-0.3145L + 3.9043)}, \quad (1)$$

where  $n_e$  is expressed in  $\text{el cm}^{-3}$ . As expected, the slope of the line,  $d \log n_e / dL = -0.3145$ , is more gradual than values such as  $-0.359$  deduced by Park et al. [1978] from a month of plasmasphere whistler data that were acquired under a wide range of magnetospheric conditions, and which therefore included the above noted effects of disturbance-associated decreases in the outer plasmasphere.

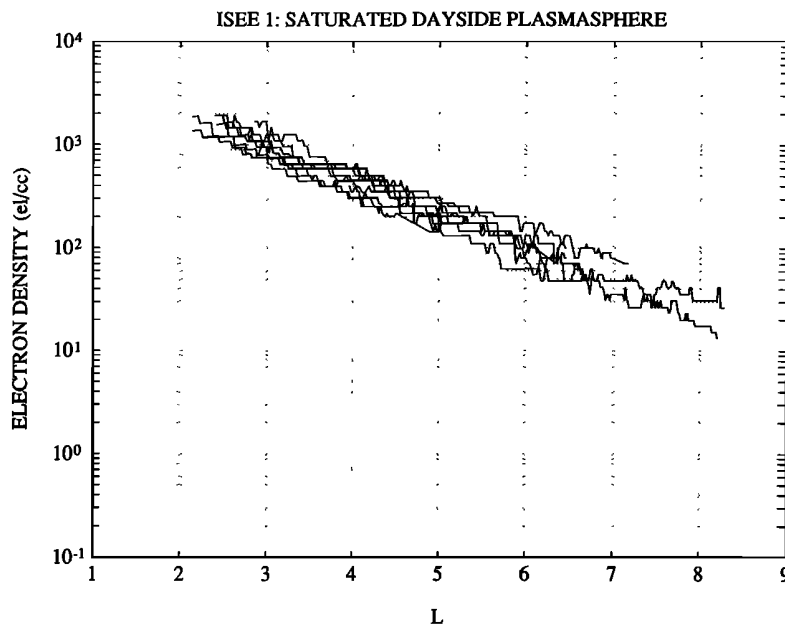


Fig. 3. ISEE profiles illustrating "saturation" conditions in the plasmasphere during the day side hours 09-15 MLT. In each of the 11 cases illustrated, the plasmapause, if identifiable, was beyond  $L=5$ . Only profile portions interior to the plasmapause are shown.

#### The Saturated Plasmasphere: Diurnal Variations

Evidence of a diurnal variation in plasmasphere electron density at  $L=3$  with a postmidnight minimum, an afternoon maximum, and a range of  $\pm 10-15\%$  around the 24-hour average was reported from whistlers by *Park et al.* [1978]. They found that beyond  $L=3$ , diurnal effects could not be identified because of the large day-to-day variations associated with magnetic storms and substorms. However, they expected such changes to be small because of the large values of flux tube electron content associated with that part of the plasmasphere. Recent time-dependent modeling shows that the  $H^+$  density of the plasmasphere should be relatively independent of local time for  $L$  somewhat greater than 2 [*Rasmussen and Schunk*, 1990]. However, as  $L$  decreases below  $L \sim 2.5$ , the tube content drops rapidly, and a given upward flux from the ionosphere may be expected to have a larger effect (as in the case of the low-content plasma trough region). From study of the group delay of VLF transmitter signals propagating near  $L=2.5$  at  $75^\circ W$ , *Clilverd et al.* [1991] have reported ratios varying up to  $\sim 1.5:1$ , depending upon season, between electron densities near dusk and near dawn.

In the present study, the data were insufficient for identification of diurnal variations at  $L < 2.5$  or for estimates of the smaller diurnal variations to be expected at  $L > 2.5$ . Comparisons of the night, dawn, and dayside data as well as of the dayside data for various  $L_{ppi}$  did not reveal notable departures from the behavior of the total dayside data set indicated in Figure 4a. Thus we propose to use the line shown as a preliminary estimate of the saturated plasmasphere throughout the 00-15 MLT period, with the expectation that diurnal variations will be included in the model when more statistical data on them have been assembled.

#### The Saturated Plasmasphere: Annual, Semiannual, and Solar Cycle Effects

Secular variations that should be added to the model include the annual, semiannual, and solar cycle variations that have been reported from whistler studies. Each of these variations is in need of improved documentation as a function of  $L$  value, and the an-

nual variation, while relatively well measured near the meridians of the United States [*Park et al.*, 1978; *Clilverd et al.*, 1991], is in need of study on a worldwide basis. It is convenient to use the following expression as a means of incorporating secular variations in the model:

$$n_e(L, d, \bar{R}) = 10^{2x_i}, \quad (2)$$

where  $d$  refers to the day number;  $\bar{R}$  is the 13-month average sunspot number;  $i=1$  represents the present ISEE 1/whistler "reference" profile and  $i=2, 3$ , and 4 represent perturbation terms associated with the annual, semiannual, and solar cycle variations, respectively.

The annual variation has been found to be largest within the inner plasmasphere, with a maximum to minimum ratio of 2 or more near  $L=2.5$  [*Park et al.*, 1978; *Clilverd et al.*, 1991]. Although early whistler measurements indicated that the variation has the same phase and approximate amplitude over a  $\sim 180^\circ$  range of longitudes near solar maximum [*Helliwell*, 1961; *Bouriot et al.*, 1967], there are also indications that the amplitude may be smaller at longitudes away from the  $75^\circ W$  meridian [*Park et al.*, 1978]. *Clilverd et al.* [1991] have used extensive data on VLF transmitter group delays at  $L \sim 2.5$  measured at Faraday, Antarctica, to confirm the large amplitude of the annual variation near  $75^\circ W$  and have argued persuasively that the variation is concentrated near that meridian, due to locally unique factors affecting densities in the conjugate ionospheres. We recognize that proper description of the annual variation may require specification of longitude, but for present purposes, simply take the December-to-June ratio at  $L \sim 2.5$  to be 1.6, smaller than the maximum value observed, and propose the following term for the annual variation:

$$x_2 = 0.15 \cos\left(\frac{2\pi(d+9)}{365}\right) e^{-\frac{(L-2)}{1.5}}, \quad (3)$$

where the multiplicative exponential factor reflects the noted amplitude falloff with increasing  $L$ .

A semiannual variation with equinoctial maxima was noted by *Carpenter* [1962] and reported by *Bouriot et al.* [1967]. Its presence is suggested in the whistler data of Figure 2 by the

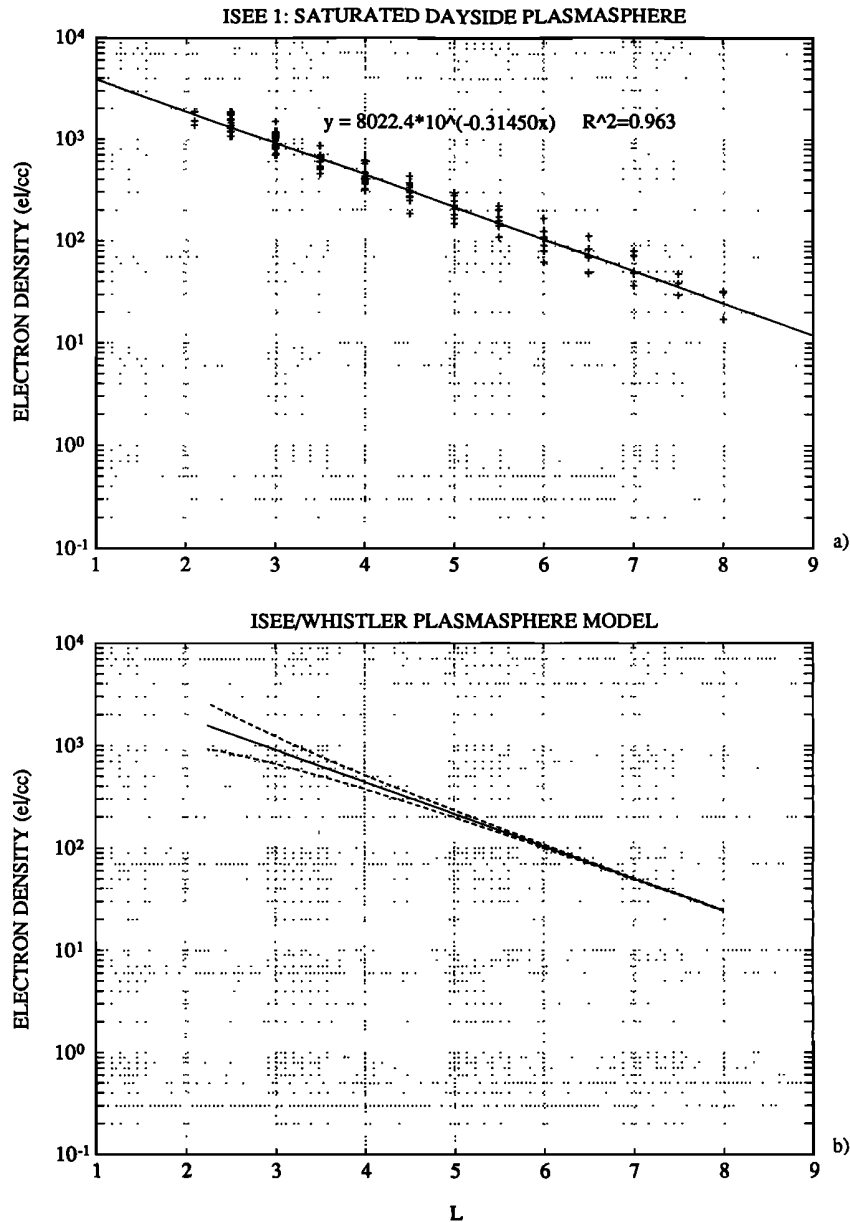


Fig. 4. (a) Plot at intervals of 0.5 in  $L$  of data from all 25 of the selected ISEE dayside (09-15 MLT) saturated plasmasphere profiles. The line shows a best least squares linear fit to the data. This is our "reference" profile; following comparison with nightside profiles, it has been taken to represent the saturated plasmasphere in the local time range 00-15 MLT. It is intended to represent an average over the year and sunspot numbers of  $\sim 50$  (pertinent to the ISEE data used) and does not include the diurnal effects that are expected to become important at  $L \leq 2.5$ . (b) Plot of the reference profile in Figure 4a and of the full expression for the model under conditions of maximum and minimum expected density levels. The upper curve represents the saturated plasmasphere profile at sunspot maximum ( $\bar{R}=165$ ) and at one of the peaks of the combined annual and semiannual variations (upper curve), while the lower curve represents sunspot minimum ( $\bar{R}=15$ ) and the June-July minimum of the annual and semiannual variations.

comparative narrowness of the June minima. Behavior broadly consistent with the envelope of the whistler time series is obtained by including in the expression for  $n_e$  a semiannual variation with amplitude half that of the annual term and with opposite phase, i.e.,

$$x_3 = -0.075 \cos\left(\frac{4\pi(d+9)}{365}\right) e^{-\frac{(L-2)}{1.5}} \quad (4)$$

Whistler studies [Carpenter, 1962; Bouriot *et al.*, 1967; Park *et al.*, 1978] suggest that at  $L \sim 2$ , the ratio of density at high sunspot number to that at solar minimum is  $\sim 1.5$ . We postulate a reduced amplitude of  $\sim 1.2$ - $1.3$  at  $L=3$ , as suggested by the data

of Park *et al.* [1978]. The following term is then proposed:

$$x_4 = (0.00127\bar{R} - 0.0635) e^{-\frac{(L-2)}{1.5}} \quad (5)$$

where  $\bar{R}$  is the 13-month average sunspot number and the same exponential used in (3) provides the  $L$  variation.

Figure 4b is a plot of the expressions for the reference profile (1) and also two examples of the full expression (2). The upper density profile represents a combination of the effects of high solar activity,  $\bar{R}=165$ , and the late February peak of the combined annual and semiannual variations, while the lower profile represents a combination of very quiet solar conditions,  $\bar{R}=15$ , and the June-July minimum of the annual and semiannual variations.

### The Plasma Trough: General Features

Profile segments representing the plasma trough were selected as those which began just beyond a well-defined plasmopause and were largely free of irregular structure other than that imposed on the data by sampling and scaling limitations (ordinarily  $\pm \sim 20\text{--}30\%$ ). A few profiles were accepted which contained narrow ( $\Delta L < 0.3$ ) factor-of- $\sim 2$  fluctuations that occupied only a small fraction of the profile  $L$  range. In a few cases the trough extended to the low- $L$  limits of the scaled data, so that the plasmopause was not identifiable, but in all cases the density levels beyond  $L=3$  were a factor of 5 or more below the saturated plasmasphere levels on the dayside and a factor of 10 or more below on the nightside. Figure 5a shows seven nighttime (00-05 MLT) trough segments and Figure 5b eight daytime (09-15 MLT) segments; in both cases the segments were chosen because of their definition

over comparatively wide  $L$  ranges. In part due to the selection criteria imposed, there was only a factor of  $\sim 3$  spread in the profile density values at particular  $L$  values.

It was expected that the trough profiles would vary roughly as  $L^{-4}$ , in view of evidence from whistlers that flux tube electron content beyond the dayside plasmopause tends to be roughly constant with  $L$  [e.g., Angerami and Carpenter, 1966] and in view of the roughly  $L^4$  variation in flux tube volume. The slopes of the ISEE trough profiles were then studied by means of a transparent overlay on which curves  $n_e = CL^{-\beta}$  were plotted for values of  $\beta$  at intervals of 0.5. It was found that indeed, in contrast to the saturated plasmasphere beyond  $L \sim 2.5$ , the trough profiles exhibited changes in  $d \log n_e / dL$  with  $L$  value. Nineteen of the 33 profiles were found to be best fit by the  $L^{-4.5}$  curve at  $L < 6$  and within  $\sim 1\text{--}2 R_E$  of the plasmopause. Twenty-seven of 33 were

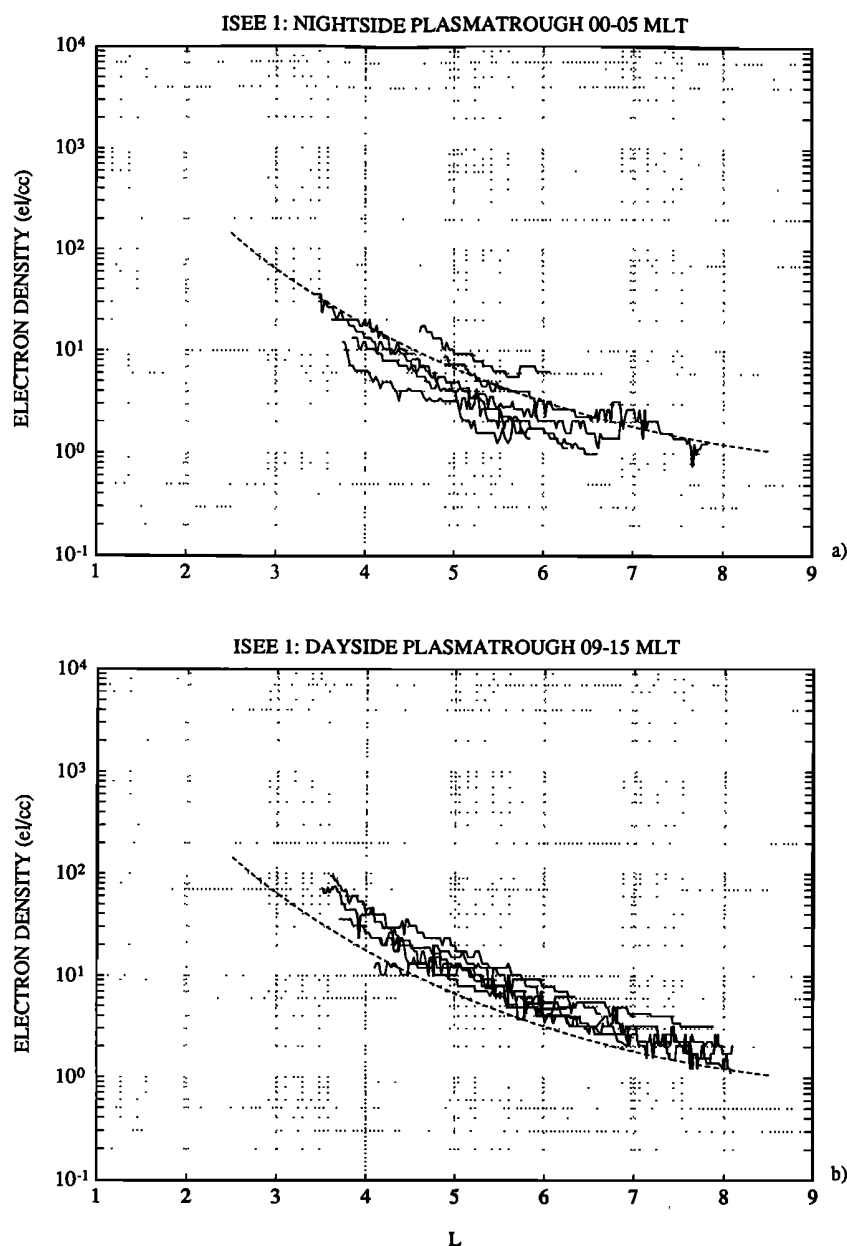


Fig. 5. ISEE plasma trough profiles, illustrating day-night differences in density level. (a) Seven profiles representing the nightside (00-05 MLT) plasma trough. The dashed line is the plasma trough model for 07 MLT. (b). Eight profiles representing the dayside (09-15 MLT) plasma trough. The dashed line of Figure 5a is repeated.

best fit for  $4 \leq \beta \leq 5$  (the distribution was skewed toward values of  $\beta < 4.5$ ). The profiles tended to decay less steeply beyond  $L=6$ , and in the cases of those defined beyond  $L=6$  (mostly for the dayside), approached a constant value near  $1 \text{ el cm}^{-3}$  beyond  $L=8$ . Thus it was decided to approximate the trough profile with a variation as  $L^{-4.5}$ , plus a term that would provide for an approach to a constant value beyond  $L=8$ .

#### The Plasma Trough: Diurnal Variation

Apparently because of upward flow from the ionosphere into depleted flux tubes, the trough region, like the plasmasphere near  $L=2$ , exhibits readily detectable day/night differences in density [e.g., *Angerami and Carpenter, 1966; Park, 1970; Chappell et al., 1970b; Higel and Wu, 1984*]. These differences are evident in a comparison of Figures 5a (00-05 MLT) and 5b (09-15 MLT). They contain a common reference curve, which varies as  $L^{-4.5}$  and is intended to represent conditions near 07 MLT.

The diurnal variation for 00-15 MLT was estimated by first assigning the 11 nightside profiles to the time 02 MLT (based on an average of their local time coverages), the seven dawnside segments to 07 MLT, and the 15 dayside segments to 12 MLT. Two linear variations in the scale level of the trough profiles with time were assumed, a slower one across the nightside to 06 MLT and a faster one for the period from 06 MLT onward. The parameters of the linear variation were then determined from averages at  $L=4, 4.5, 5$ , and  $5.5$  of the night, dawn, and day segments.

The corresponding plasma trough model expressions are as follows:

$$n_e = (5800 + 300t)L^{-4.5} + (1 - e^{-\frac{(L-2)}{10}}), \quad 00 \leq t < 06 \text{ MLT} \quad (6)$$

$$n_e = (-800 + 1400t)L^{-4.5} + (1 - e^{-\frac{(L-2)}{10}}), \quad 06 \leq t \leq 15 \text{ MLT}$$

where the small second term is intended to approximate the decrease in decay rate and approach to a constant value beyond  $L = 6$ . Evaluated at  $L=6.6$ , these expressions are in good agreement with the data reported from the relaxation sounder on GEOS 2 by *Higel and Wu* [1984]. Their relation to the ISEE data was checked by multiplying all the data for a given profile segment by  $(L/5)^{-4.5}$  (so as to refer the data to  $L=5$ , on the assumption of  $L^{-4.5}$  behavior). Assuming that the distribution of profile scale levels at any particular local time would be skewed in the recovery (higher density) direction, we calculated the medians and estimated the standard errors of the medians [*Sachs, 1984*] for the 29 night, 24 dawn, and 43 dayside density values. These were, respectively,  $4.8 \pm 0.3$ ,  $6.9 \pm 0.5$ , and  $11.1 \pm 0.6 \text{ el cm}^{-3}$ . The model gave corresponding values of 4.8, 6.7, and  $11.7 \text{ el cm}^{-3}$ , respectively, in excellent agreement. This agreement may also be judged by comparing the model trough segments (plotted in Figure 10) with the trough profiles for the corresponding magnetic local times in Figure 5.

The amount of ISEE data examined thus far is insufficient for identifying possible secular changes in the plasma trough, such as the annual and solar cycle variations. Since whistler data on these effects are only available for the plasmasphere, attempts should be made to estimate the trough variations when it becomes possible to scale a larger amount of the ISEE data for electron density information.

#### The Plasmopause: L Value versus MLT and Magnetic Activity

The location and profile of the plasmopause must be known if we wish to model a profile containing both plasmasphere and

plasma trough segments, as in the cases of days 215 and 224 in Figure 1. Among the 1977-1983 ISEE profile plots, 208 were found in which a plasmopause could be identified according to the criterion that a drop by a factor of 5 or more occurs within  $\Delta L=0.5$ . The  $L$  value of the plasmopause,  $L_{ppi}$ , was in each case determined as the  $L$  value of the last measured point prior to a steep plasmopause falloff. In most cases, this point could be chosen to within  $\delta L < 0.05$  with the aid of a profile plot. When multiple plasmopause effects could be identified, as in the dusk sector, only the innermost one was scaled.

Figure 6 provides a plot of the values of  $L_{ppi}$  versus a measure of preceding disturbance activity for the period 00-15 MLT. In this case we have used the maximum value of  $K_p$  in the preceding 24 hours, although in the case of periods centered at 09, 12 and 15, respectively, we have ignored the  $K_p$  values for one, two or three immediately preceding 3-hour periods to account for observed delays in the dayside response to enhanced convection activity [*Chappell et al., 1971; Décréau et al., 1982*]. A least squares linear fit to the data is indicated in Figure 7. It represents the relation

$$L_{ppi} = 5.6 - 0.46K_{p_{max}} \quad (7)$$

and is virtually identical to the relationship deduced some years ago by *Carpenter and Park* [1973] from whistlers recorded in the postmidnight sector.

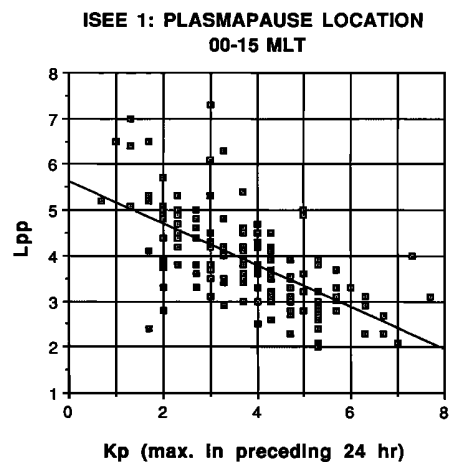


Fig. 6. ISEE data, mostly from 1977, 1982, and 1983, on  $L_{ppi}$ , the inner edge of the plasmopause, versus the maximum  $K_p$  value in the preceding 24 hours. The period 00-15 MLT is represented. In the case of 3-hour periods centered on 09, 12, and 15 MLT, respectively, the  $K_p$  values for one, two, or three immediately preceding 3-hour periods were ignored (for purposes of identifying  $K_{p_{max}}$ ) in order to account for observed delays in the dayside response to enhanced convection. A least squares linear fit to the data is indicated.

It was found that the relationships indicated in Figure 6 did not change significantly when the data were separated according to night, day, and dawn sector values. The point seems to be that irrespective of the nature of the process controlling the establishment of a plasmopause in the nightside profile, the nightside position tends to be communicated within 24 hours to much of the dayside and with differences in  $L_{ppi}$  of only  $\sim 0.5$ .

Figure 7a is a plot in coordinates of  $L$  versus MLT of all of the scaled values of  $L_{ppi}$ . All the levels of magnetic activity reflected in Figure 6 are therefore represented. The distribution in MLT is relatively uniform, except for limited periods near 0030 and 1530 MLT. Figure 7b represents an attempt to smooth the data of Figure

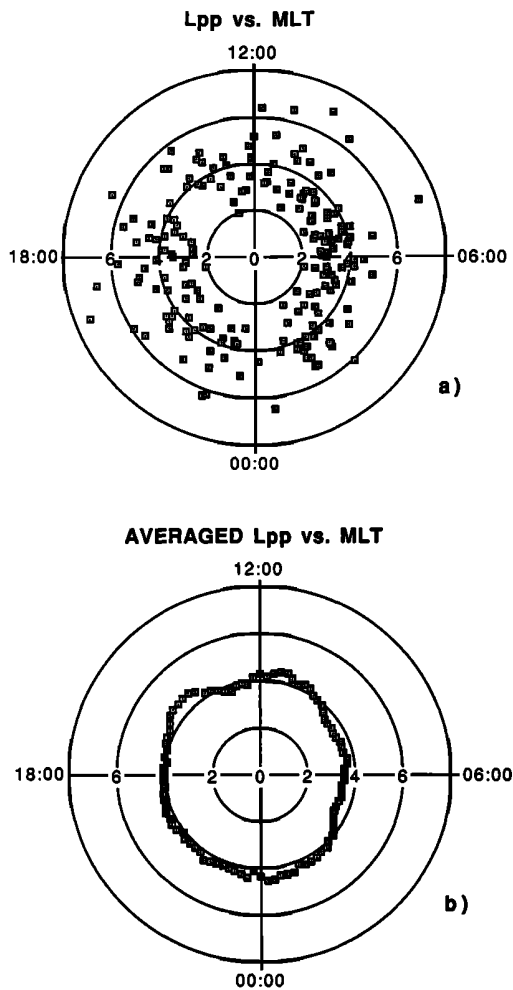


Fig. 7. (a) The ISEE data on plasmopause  $L$  value plotted versus MLT. In this case, data from all local times were used. (b) Result of applying a 2-hour running mean at 15 min intervals to the data of Figure 7a.

7a by calculating the 2-hour running mean of  $L_{ppi}$  centered at intervals spaced by 15 min. Thus it provides an indication of typical plasmopause locations for periods when the criterion for plasmopause identification is met, that is, when enough time has passed since the beginning of a plasmopause-forming convection episode for a well-defined plasmopause to appear locally (of order 10 hours for late afternoon-dusk). The results are at first glance surprising; instead of an extended duskside plasmopause radius, we find a distribution that is nearly circular. Typical values of  $L_{pp}$  near dawn are  $\sim 3.5$  and at dusk only  $\sim 4$ . Where is the bulge?

The lack of extended plasmopause radii in the dusk sector in Figure 7b is partly the result of our selection, in each case, of the innermost (lowest- $L$ ) region of density gradients that qualified as a plasmopause. The bulge effects that have been reported thus far [e.g., Carpenter, 1966, 1970; Chappell *et al.*, 1970b; Taylor *et al.*, 1970; Maynard and Chen, 1975; Corcuff and Corcuff, 1982] suggest that the entrainment of dense plasma during a surge in convection activity is such that when quieting begins, sizable (e.g.,  $\sim 1-3 R_E$  along satellite orbits) patches of dense plasma tend to remain in the afternoon-evening magnetosphere. As the Earth's corotation field then begins to dominate plasma flow at  $L$  values characteristic of the newly formed plasmopause on the nightside, the main plasmasphere starts to become roughly circular and to drag with it, in spiral fashion, the inner parts of the plasma previously entrained sunward. In such a simplified picture, the radial density profile near dusk may be expected to exhibit an

inner plasmopause at  $L$  values near those characteristic of the dayside plasmasphere, as well as outlying dense feature(s) that may have plasmapauselike boundaries. In fact, DE 1 thermal ion density profiles in the dusk sector regularly exhibit such behavior, according to Horwitz *et al.* [1990]. Electron density profiles with such features have been observed by ourselves in many examples of ISEE profiles from the dusk sector, and what appears to be the dragging effect during quieting has been reported from polar satellite data by Taylor *et al.* [1971] and from whistlers by Ho and Carpenter [1976].

Figure 7b also fails to show the noon-midnight asymmetry in plasmasphere radius, involving a larger dayside radius, reported from Prognoz and Prognoz 2 by Gringauz and Bezrukikh [1976]. This asymmetry, reported as an  $L$  difference in plasmopause location of  $\sim 1.6$  during quiet and moderately disturbed conditions, was found to decrease with increasing magnetic activity. Its lack of appearance in Figure 7b is attributed in part to our emphasis upon a particular profile steepness criterion for identifying a plasmopause position; our results appear to favor dayside observations made in the aftermath of plasmopause formation on the nightside. We believe that plasmasphere topology is more complex than many available descriptions would suggest (see, for example, the case studies by Corcuff and Corcuff [1982]) and can only be properly understood through empirical and modeling studies of dynamical processes.

#### The Plasmopause: Electron Density Profile

As a preliminary basis for describing the plasmopause density profile, 62 "clean" or "smooth" cases were selected from the total of 208 cases in which a plasmopause had been identified. The criterion for selection was that irregularities not be present within the region of steep density gradients (the development of a clean, as opposed to an irregular, plasmopause profile appeared to depend upon the occurrence of a comparatively fast and smooth buildup in convection activity, as indicated by the  $K_p$  index). Cases were rejected in which the slope between successive data points reversed sign from negative to positive, or in which a "plateau" or constant level wider than about 150 km (the approximate separation between successive data points) occurred between plasmasphere and trough levels. Figure 8 shows five examples of smooth plasmopause profiles on an expanded time scale; a sixth example, case D, exhibits a large irregularity at midlevel in the profile as well as irregular structure in the outer plasmasphere and in the nearby trough region.

The parameters of the plasmopause scaled were the inner and outer  $L$  limits  $L_{ppi}$  and  $L_{ppo}$ , which determine the total plasmopause thickness or width  $\Delta L_{pp}$  and the corresponding fractional density drop  $\Delta \log n_e$ . From this the scale width  $\Delta pp$ , the distance in  $L$  or in kilometers at the equator within which the electron density varies by a factor of 10, were determined from  $\Delta pp = \Delta L_{pp} / \Delta \log n_e$ .

In some cases there was uncertainty in identifying the inner and outer limiting data points of the plasmopause profile, but the corresponding uncertainty in the determination of  $\Delta pp$  tended to be less than  $\sim 30\%$ . When obvious, this uncertainty usually occurred as the result of small-scale  $\sim 30-50\%$  structure in the outermost plasmasphere. In many cases, such as A, B, E, and F in Figure 8, the limiting points were well-defined, while in some others, such as case C, a change in the identification of the plasmopause inner limit, and hence of  $\Delta L_{pp}$ , produced a change of similar sign in  $\Delta \log n_e$  and thus only a small effect on  $\Delta pp$ .

Figure 9a shows the distribution versus MLT of the values of  $\Delta pp$  in kilometers and Earth radii, while Figure 9b shows the corresponding distribution of  $\Delta \log n_e$ . Most of the clean profile data represent values of  $L_{ppi} < 5$ , but inside that limit, as sug-



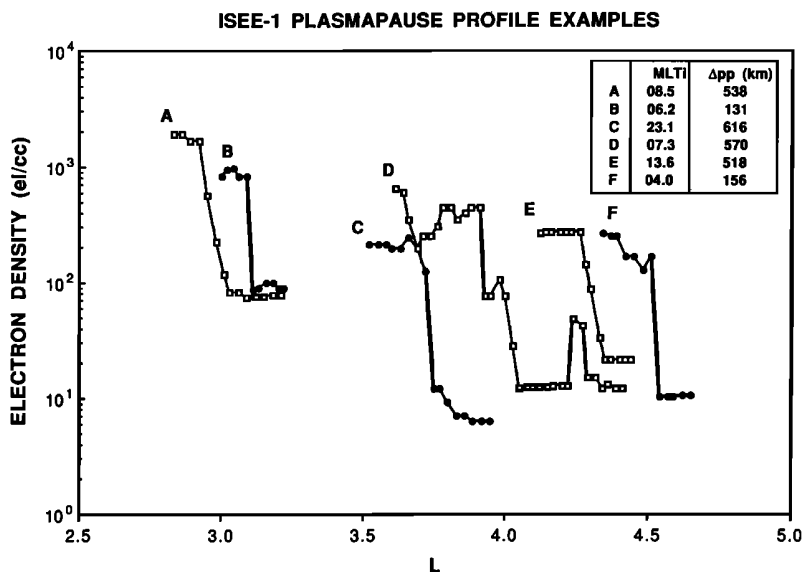


Fig. 8. Five examples of "smooth" plasmopause profiles (A,B,C,E,F) from ISEE, presented on an expanded  $L$  scale. Individual data points are connected by straight lines. A sixth case, D, exhibits a large irregularity at midlevel in the profile, as well as irregular structure in the outer plasmasphere and nearby trough region. The table indicates the magnetic local time as the inner limit of the plasmopause was crossed, and  $\Delta pp$  represents the scale width of the plasmopause, or distance within which the electron density dropped by a factor of 10.

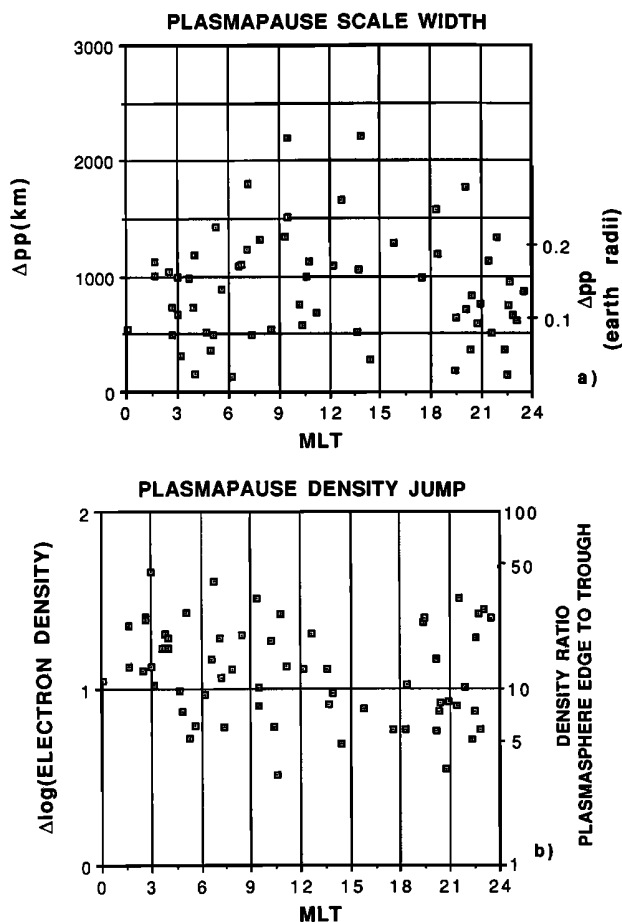


Fig. 9. (a) Distribution versus MLT of plasmopause scale width  $\Delta pp$  in kilometers and in Earth radii, where  $\Delta pp$  is defined as the distance within which the density changed by a factor of 10. These data represent the 62 of the 208 cases of Figure 8 that were identified as "smooth." (b) Distribution versus MLT of  $\Delta \log n_e$ , the fractional density drop across the plasmopause, for the subset of smooth plasmopause profiles.

gested by Figure 8, the steepness of the profiles did not appear to vary strongly with  $L_{ppi}$  and hence with the intensity of the associated convection activity. The cases were relatively uniformly distributed across the nightside but decreased in number across the dayside, reaching a minimum in late afternoon. The occurrence of fewer smooth profiles on the dayside is believed to be a real effect, but the afternoon minimum cannot be assessed without further study of orbital coverage. Most of the  $\Delta pp$  values in Figure 9a fell in the range 250-1250 km, or less than  $0.2 R_E$ . Median values in units of Earth radii for the 6-hour MLT intervals, 0-6, 6-12, 12-18, and 18-24 were 0.11, 0.17, 0.17, and 0.11, respectively. These differences are consistent with reports from satellites in which the nightside plasmopause gradients were found to be steeper than those on the dayside [Gringauz and Bezrukh, 1976; Horwitz, 1983; Nagai et al., 1985].

There were four cases of  $\Delta pp < 250$  km; two are illustrated as cases B and F in Figure 8. In these four cases, which were observed at about 20, 23, 04 and 06 MLT, only an upper limit to the scale width can be estimated, due to the limited, 32-s ( $\sim 150$  km) resolution of the SFR. On the other hand, the mean profile slope and hence  $\Delta pp$  were relatively well resolved in cases such as those of A and E in Figure 8.

The data on  $\Delta \log n_e$  of Figure 9b show a density variation from a factor of roughly 15 after midnight to less than 10 in the afternoon sector. This change, noted in the earlier discussion, is largely attributed to dayside filling of the plasma trough region. Median values of  $\Delta \log n_e$  for the intervals 00-06, 06-12, 12-18, and 18-24 were 1.18, 1.12, 0.95, and 0.97, respectively.

As a model of the smooth plasmopause profile, we propose a linear relation in  $\log n_e$  versus  $L$ , as in the plasmasphere, i.e.,

$$n_e = n_e(L_{ppi}) \times 10^{-\frac{(L-L_{ppi})}{\Delta pp}}, \quad (8)$$

where  $L_{ppi}$  is determined from considerations of plasmopause location, and  $n_e(L_{ppi})$  from relation (2) for the plasmasphere. To reflect the day/night differences in  $\Delta pp$ , it is suggested that the day value be twice the night value (for night values of  $\geq 0.05$ ) and that typical day and night values be 0.2 and 0.1, respectively.

(These values are not offered as a statistical measure of the widely scattered data of Figure 9a. However, they fall well within the range of the observations and roughly approximate the median values noted above.) To simulate the steepest plasmopause profiles observed from ISEE, with its limiting  $\sim 150$ -km spatial resolution, the value  $\Delta pp=0.025$  may be used for the nightside. The trough segment of a given profile may now be defined as beginning at  $L_{ppo}$ , where  $L_{ppo}$  is determined from a simultaneous solution of (5) and (7).

### 3. SUMMARY OF THE MODEL

Definitions:  $\bar{R}$ , 13-month-average sunspot number;  $L_{ppi}$ , plasmopause inner limit;  $L_{ppo}$ , plasmopause outer limit;  $d$ , day number.

1. Plasmopause inner limit  $L_{ppi}$ , from (6):

$$L_{ppi} = 5.6 - 0.46Kp_{\max}$$

where  $Kp_{\max}$  is the maximum  $Kp$  value in the preceding 24 hours. Exceptions: for  $L_{ppi}$  in the MLT intervals 06-09, 09-12, and 12-15, omit one, two, or three immediately preceding  $Kp$  values, respectively, from consideration in determining  $Kp_{\max}$ .

2. The saturated plasmasphere segment, from (1), (2), (3), and (4);  $2.25 \leq L \leq L_{ppi}$ :

$$\log n_e = (-0.3145L + 3.9043) + [0.15(\cos \frac{2\pi(d+9)}{365} - 0.5 \cos \frac{4\pi(d+9)}{365}) + 0.00127\bar{R} - 0.0635]e^{-\frac{(L-2)}{1.5}}$$

3. The plasmopause segment, from (7);  $L_{ppi} \leq L \leq L_{ppo}$ :

$$n_e = n_e(L_{ppi}) \times 10^{-\frac{(L-L_{ppi})}{0.1}}, \quad 00 \leq t < 06 \text{ MLT}$$

$$n_e = n_e(L_{ppi}) \times 10^{-\frac{(L-L_{ppi})}{(0.1+0.01(t-6))}}, \quad 06 \leq t \leq 15 \text{ MLT}$$

4. The extended plasma trough, from (5);  $2.25 \leq L \leq 8$ :

$$n_e = (5800 + 300t)L^{-4.5} + (1 - e^{-\frac{(L-2)}{10}}), \quad 00 \leq t < 06 \text{ MLT}$$

$$n_e = (-800 + 1400t)L^{-4.5} + (1 - e^{-\frac{(L-2)}{10}}), \quad 06 \leq t \leq 15 \text{ MLT}$$

5. The plasmopause outer limit  $L_{ppo}$ , determined by solving simultaneously for the plasmopause segment and the extended plasma trough.

6. The plasma trough segment, from (6);  $L_{ppo} \leq L \leq 8$ :

$$n_e = n_e(L_{ppo}) \times \left(\frac{L}{L_{ppo}}\right)^{-4.5} + (1 - e^{-\frac{(L-2)}{10}})$$

### 4. COMMENTS ON THE MODEL AND ITS APPLICATION

The model draws upon two extensive data sources in order to provide a more extensive and unified picture of the equatorial profile than has previously been available. We find the model to be consistent with previous empirical work, in terms of typical number density levels in the plasmasphere [e.g., Storey, 1953; Angerami and Carpenter, 1966; Gringauz and Bezrukikh, 1976; Park et al., 1978; Corcuff and Corcuff, 1982; Nagai et al., 1985; Gallagher and Craven, 1988; Horwitz et al., 1990], in the plasma trough region [e.g., Angerami and Carpenter, 1966; Corcuff and Corcuff, 1982; Décréau et al., 1982; Higel and Wu, 1984], and with respect to typical plasmopause radii [e.g., Carpenter, 1966, 1967; Chappell et al., 1970a; Maynard and Grebowky, 1977; Décréau et al., 1986].

Under both saturated plasmasphere and plasma trough conditions, the slope of the equatorial profile appears to be dominated by the physics of plasma interchange with the ionosphere along

magnetic flux tubes. Under the low-density conditions of the plasma trough, the density profile, especially its inner part, tends to vary as  $L^{-4.5}$ , or roughly inversely with flux tube volume, and is thus dominated by the integrated effects of upward fluxes from the ionosphere that are roughly constant with  $L$  (see discussions by Angerami and Carpenter [1966], Park and Carpenter [1970], Chappell et al. [1970b], Rasmussen and Schunk [1990] and Moffett [1991]). However, as Figure 10 and Figure 4 show, under conditions of saturation, the profile, in particular its low- $L$  part, tends to fall off more gradually than would be expected if the power law observed in the trough were to persist. The change from the power law relation to a generally more gradual slope may be attributed to the change from flux tube content that is roughly constant with  $L$  to flux tube content that increases with  $L$ . The latter condition develops because higher- $L$ , higher-volume tubes continue to fill after lower- $L$ , lower-volume tubes become saturated [e.g., Rasmussen and Thomas, 1991].

In its present form the model is limited to a piecewise description of the equatorial electron density profile in the range  $\sim 00$ -15 MLT, as illustrated in Figure 10. Among the special features of the model are the identification of the "saturated" plasmasphere profile; inclusion of solar cycle, annual, and semiannual variations in the plasmasphere; quantitative estimates of density gradients at the plasmopause; and identification of the radial and diurnal density variations in the inner part of the trough region.

The model is idealized in that irregularities other than the plasmopause itself are not included and in the fact that the plasmasphere segment of the model represents a condition of substantial recovery, while the trough segment represents conditions prior to substantial recovery. Real profiles representing such conditions do exist following relatively large and abrupt increases in disturbance levels, as illustrated by the profiles for days 215 and 224 of 1983 in Figure 1.

The circumstances of most ISEE 1 and whistler observations have not yet permitted systematic study of what may be the earliest and most deeply depleted conditions of the plasma trough. The trough levels observed at particular local times are remarkably repeatable in the ISEE data but may represent a multihour stage of refilling that follows a much shorter and hence observationally elusive transient refilling period during which there is a rapid initial buildup from largely unobserved lower "starting" levels. The existence of lower-density levels, in the range  $\sim 0.1$ -1 el  $\text{cm}^{-3}$  in the premidnight sector at synchronous orbit, was suggested by Higel and Wu [1984] in their study of GEOS 2 data from the bulge region.

The predictive capability of the model should be best in terms of density levels in the plasmasphere late in recovery and in the trough in the early stages of recovery. The plasmopause location and density profile are more complex (and still not well known) functions of preceding activity, and while predictable in an average sense, require due caution in particular cases. For example, a well-defined plasmopause on the dayside at values of  $L_{ppi}$  near those prevailing at night tends to appear only after an initial multihour time lag with respect to the nightside plasmopause development.

Although the model was not developed for application to the 15-24 MLT sector, it may be extended to that region for certain limited purposes and with a number of caveats. Plasmasphere levels should be roughly the same as for the 00-15 MLT period. Values of  $L_{ppi}$  may be chosen somewhat larger than predicted by (7), as suggested by the smoothed data of Figure 7b. The dayside increases with time in plasmopause scale width and plasma trough scale density indicated above may be continued to  $\sim 20$  MLT, where a transition to nighttime conditions should be imposed. The transition might consist of a linear variation of relevant quantities between 19 and 20 MLT at all  $L$  values, or possibly

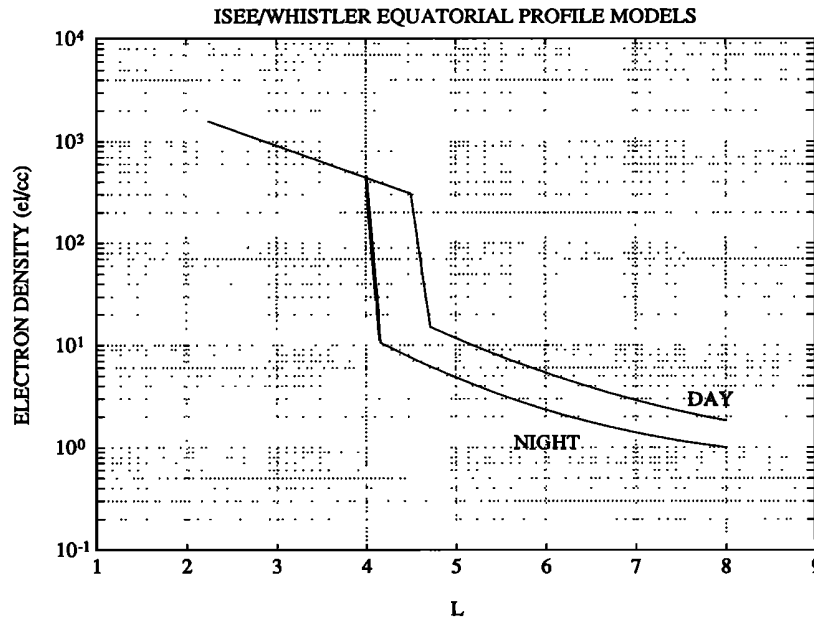


Fig. 10. Illustration on a piecewise basis of the density model. The plasmasphere segment repeats the "reference" profile of Figure 5a. The night trough segment represents 02 MLT, and the day trough segment 12 MLT. The nightside and dayside plasmapause values were arbitrarily chosen as  $L=4$  and 4.5, for convenience in illustration. The nightside plasmapause scale width was taken to be  $0.1L$ . The dayside value represents 12 MLT and is  $0.166L$  (see the summary of the model).

of discontinuous changes along some curve in local time- $L$  space. That curve might begin at  $\sim 19$  MLT at the plasmapause and move to earlier magnetic local times with increasing  $L$ .

Under nighttime conditions prior to 24 MLT, the plasmapause scale width should be the same as the value specified for the postmidnight period. In this premidnight region the density scale factor for the trough may increase at the rate of  $300 \text{ el cm}^{-3}$  per hour as indicated in (6) but with its minimum immediately following the "day-night" transition.

##### 5. FURTHER DEVELOPMENTS OF THE MODEL

Planned further developments include model description in terms of continuous functions, such as those proposed by Gallagher and Craven [1988]. Further refinements will include estimates of the profile beyond  $L=8$  and extensions of the basic model to the 15-24 MLT sector.

Challenging problems lie ahead. There is a need to study and model the dynamics of the plasmasphere, including the temporal evolution of the profile from disturbance onset to recovery, the development of irregular structure at and near the plasmapause, and the structure, location and motion of outlying cold-plasma features. The afternoon-dusk sector requires special attention; observations of plasma structure in the outer plasmasphere or bulge [e.g., Horwitz *et al.*, 1990; Carpenter *et al.*, this issue], of outlying high-density plasma regions near synchronous orbit and beyond [e.g., Chappell, 1974; Corcuff and Corcuff, 1982], and of the effects of ring current penetration of the plasmasphere [e.g., LaBelle *et al.*, 1988] all suggest that this sector is one of important but observationally elusive interaction processes.

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